

CDSI-P01-020

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants

Paul Ashton

Application No.

10/688151

Confirmation No.:

8861

Filed

October 17, 2003

For

METHODS FOR MONITORING TREATMENT OF

DISEASE

Group Art Unit

3738

Examiner

Not Yet Assigned

Hon. Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

#### **EXPRESS MAIL CERTIFICATION**

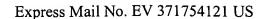
Express Mail Label No.EV 371754121 US Date of Deposit: September 30, 2005

I hereby certify that this certification and the following papers:

- 1. Transmittal Letter (in duplicate);
- Information Disclosure Statement with references; 2.
- PTO/SB/08A (in duplicate); 3.
- Copy of International Search Report; and 4.
- Postcard 5.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name: Andrew Shine





**PATENTS** CDSI-P01-020

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PATENT APPLICATION

**Applicants** 

Paul Ashton

Application No.

10/688151

Confirmation No.

: 8861

Filed

: October 17, 2003

For

METHODS FOR MONITORING TREATMENT OF

DISEASE

Group Art Unit

3738

Examiner

Not Yet Assigned

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

# TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith are an Information Disclosure Statement and an accompanying Form PTO/SB/08A (submitted in duplicate) for the above-identified patent application.

The Director is hereby authorized to charge payment of any fees required in connection with this Statement to Deposit Account No. 18-1945, Order No. CDSI-P01-020. A duplicate copy of this letter is transmitted herewith.

September 30, 2005

Respectfully submitted,

Angela Krueger

Registration No.: 47,723 Fish & Neave IP Group ROPES & GRAY LLP

1251 Avenue of the Americas New York, New York 10020-1105

Tel.: (212) 596-9000 Fax: (212) 596-9090

Attorneys/Agents for Applicant

I hereby certify that this

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Addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 on

Signature of Person Signing

SEP 3 0 2005 %

CDSI-P01-020 (PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Paul Ashton:

Application No. : 10/688151 Confirmation No. : 8861

Filed : October 17, 2003

For : METHODS FOR MONITORING TREATMENT OF

DISEASE

Examiner : Not Yet Assigned

Group Art Unit : 3738

New York, New York 10020

September 30, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR §§1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, <u>BUT</u> before the mailing date of the first Office Action on the merits as far as is known to the undersigned )37 CFR § 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and published application as required by 37 CFR § 1.98(a)(2)(i) as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patents and applications.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. CDSI-P01-020. A duplicate copy of this paper is enclosed.

Respectfully submitted,

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Addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 on

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Angela Krueger

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449/PTO

### **SUPPLEMENTAL INFORMATION DISCLOSURE** STATEMENT BY APPLICANTS

(use as many sheets as necessary)

of Sheet 1

Complete if known			
Application Number	10/688151		
Confirmation No.	8861		
Filing Date	October 17, 2003		
First Named Inventor	Paul Ashton		
Art Unit	3738		
Examiner	Not Yet Assigned		
Attorney Docket Number	CDSI-P01-020		

U.S. PATENT DOCUMENTS						
Examiner initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number – Kind Code <sup>2</sup> (if known)			Figures Appear	
		U.S. 6,217,895	04/17/2001	Guo, et al.		
		US 2001/0023419 A1	9/20/2001	LaPointe, et al.		
W				·		

Examiner	Date	
Signature	Considered	

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	Т
initials	No.1	country Code 1 - Number 2 - Kind Code 3	MM-DD-YYYY	Applicant of Cited Documents	Where Relevant Passages or Relevant Figures Appear	6

NON PATENT LITERATURE DOCUMENTS				
Examiner initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	τ*	

Examiner	Date	
Signature	Considered	
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \*I Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language translation is attached.